



Personal Information Collection Statement
HSBC Institutional Trust Services (Asia) Limited

1) COLLECTION AND STORAGE

From time to time, it is necessary for HSBC Institutional Trust Services (Asia) Limited to collect, use, access and/or process personal information about you, including name, address, nationality, telephone number, email address and contact information.

Failure to provide your information may result in us being unable to process your application or perform the services you request. We may store your data locally or outside Hong Kong, including in the cloud. We apply our global data protection standards and policies wherever your data is stored. We are responsible for keeping your data safe in compliance with Hong Kong law and any other applicable law.

2) PURPOSE

We use your data for the following purposes:

- (i) conducting identity checks;
- (ii) performing checks for the purposes of anti-money laundering/ counterfinancing of terrorism and other customer due diligence;
- (iii) compliance or in accordance with an order of a court, or compliance or in accordance with a law or a requirement made under a law (e.g. the Inland Revenue Ordinance and its provisions including those concerning automatic exchange of financial account information), or compliance or in accordance with any agreement or treaty or any present or future contractual or other commitment with any regulators or government authorities in any jurisdictions, including but not limited to an agreement by one or more entities of the HSBC Group under the provisions of U.S. tax law known as the Foreign Account Tax Compliance Act ('FATCA'), and the guidelines, guidance or requests given or issued by the Inland Revenue Department including those concerning automatic exchange of financial account information (collectively, the 'Compliance Obligations');
- (iv) carrying any activities related to the services provided by us; and
- (v) any other purpose relating to any of the purposes above.

3) SHARING

Personal data held by us will be kept confidential but, to the extent not prohibited by applicable law, such information may be provided by us or any of our service providers or their agents/delegates to the following parties for the purposes set out in section 2:

- (i) any regulators or government authorities in any jurisdiction;
- (ii) any service provider, agent or contractor who provides administrative, telecommunications, computer, payment, data processing, matching, storage, customer research or survey or meeting the Compliance Obligations; and
- (iii) entities of the HSBC Group. Such information may be transferred to a place outside Hong Kong Special Administrative Region.

4) IF YOU PROVIDE DATA ABOUT OTHER

If you provide data to us about another person, you should tell that person how we will collect, use and share their data as explained in this Statement.

5) YOUR RIGHTS

You have the right to request access to and correction of your personal data held by us. Request should be addressed to: HSBC Institutional Trust Services (Asia) Limited, 3/F, Tower 3, HSBC Centre, 1, Sham Mong Road, Kowloon, Hong Kong

No person other than you and us will have any right under the Contracts (Rights of Third Parties) Ordinance to enforce or enjoy the benefit of any of the provisions of this Statement. Nothing in this Notice shall limit your rights as a data subject under the Ordinance.