

Personal Information Collection Statement
State Street Bank and Trust Company

1 General and Consent

1.1 It is often necessary from time to time for State Street Bank and Trust Company ("State Street", "us" or "we") to collect, use, access and/or process personal information about you, such as name, address, telephone number, email address and contact information ("Personal Data") to enable us and other subsidiaries and group members of the State Street Corporation ("Affiliates" and collectively "State Street Group") to provide, manage and/or administer financial products and services as described in this Personal Information Collection Statement ("Statement"). Failure to provide us with your Personal Data may result in us being unable to provide or continue to provide, manage and/or administer products and/or services to your employer or company (as may be applicable) and any subsidiaries and group members of your employer or company (as applicable) ("Client Group Members").

1.2 You hereby consent to our collection, use, access and processing of your Personal Data in accordance with this Statement.

2 Purpose

2.1 The Personal Data collected from you may be accessed and/or used by us, our Affiliates and others specified in section 3 for the following purposes:

- (a) conducting checks for the purposes of anti-money laundering/ counter-financing of terrorism and other customer due diligence or for determining eligibility of investors in accordance with the applicable regulatory requirements or any relevant fund documentations;
- (b) offering and providing products and/or services to your employer or company and any Client Group Members from time to time;
- (c) processing, assessing and determining any applications or requests made by your employer, company or principal or any Client Group Member, as may be applicable, to become or continue to be a customer and/or for particular products or services provided by us and/or our Affiliates;
- (d) administering any aspect of our relationship with your employer or company or any Client Group Member;
- (e) any purposes in connection with any claims made by or against or otherwise involving you, your employer or company or any Client Group Member, as may be applicable, in respect of any products and/or services provided by us and/or our Affiliates;
- (f) performing any functions and activities related to the products and/or services provided by us and/or our Affiliates including, without limitation, general marketing, as required by internal policies (including but not limited to audit and reporting), market research and general servicing and maintenance of online and other services;
- (g) designing products and/or services by us or our Affiliates, and improving and furthering the provision of products and/or services by us and/or our Affiliates;
- (h) matching any data held by us and/or our Affiliates relating to you from time to time for any of the purposes set out in this Statement;
- (i) making disclosure under the requirements of any law, rules, regulations or directive binding on us and/or our Affiliates, or under and for the purposes of any codes of practice or guidelines issued by or responding to requests made to us and/or our Affiliates by regulatory or other authorities, or for the purposes of any legal or administrative proceedings in which we and/or our Affiliates are involved;
- (j) exercising any rights we and/or our Affiliates may have in connection with the provision to your employer or company or any Client Group Member, as may be applicable, of products and/or services from time to time;
- (k) conducting identity and/or credit checks;
- (l) enabling an actual or proposed assignee, transferee, participant or sub-participant of our rights or business to evaluate the transaction intended to be the subject of the assignment, transfer, participation or sub-participation;
- (m) as part of the ordinary course of accessing State Street's, or the State Street Group's, systems; and
- (n) any purposes relating to the above or any other purposes in accordance with our general policies or those of the State Street Group in relation to banking and financial services as set out in statements, circulars, notices or other terms and conditions made available by us and/or the State Street Group to our clients from time to time.

3 Sharing of Information

3.1 Personal Data held by us will be kept confidential but we may provide or otherwise make available such Personal Data within or outside Hong Kong, to the following persons:

- (a) any Affiliate for the purposes specified in section 2;
- (b) any Affiliate, agent, contractor, service provider or any person whom State Street may appoint or to whom State Street may delegate its obligations to provide products and/or services for the purposes specified in section 2 of this Statement;

- (c) any person in connection with any claims made by or against or otherwise involving you, your employer or company, as may be applicable, in respect of any products and/or services provided by State Street and/or an Affiliate;
- (d) any Affiliate, agent, contractor or other service provider who provides administrative, compliance, telecommunications, computer, other technological, payment, screening, data processing or storage, cloud computing or other services to us and/or our Affiliates in connection with the operation of our respective businesses;
- (e) any credit reference agencies;
- (f) any person under a duty of confidentiality to State Street and/or any Affiliate which has undertaken to keep such data confidential;
- (g) any actual or proposed assignee, transferee, participant or sub-participant of State Street's rights or business; and
- (h) any person to whom State Street and/or an Affiliate are under an obligation to make disclosure under the requirements of any law, rules, regulations or directive binding on us and/or our Affiliates, or under and for the purposes of any codes of practice or guidelines issued by or responding to requests made to us and/or our Affiliates by regulatory or other authorities, or for the purposes of any legal or administrative proceedings in which we and/or our Affiliates are involved.

4 Time and manner of use of Personal Data

Your Personal Data may be used until the last to occur of:

- (a) the applicable purpose set out in section 2 ceasing to be applicable;
- (b) State Street and/or an Affiliate (as applicable) ceasing to be required by applicable laws, regulations or internal rules to retain such Personal Data;
- (c) the Personal Data ceasing to be required by State Street or our Affiliates; and
- (d) any contractual requirement to retain such Personal Data ceasing to exist.

Personal Data may be collected, processed, used, transmitted and disclosed by any automatic or non-automatic manner (including using electronic files and/or hard copies).

5 Security

5.1 The State Street Group confirm that it has taken appropriate security safeguards to prevent the unauthorized or unlawful processing of your Personal Data and the accidental loss or destruction of or damage to your Personal Data in accordance with the applicable laws and regulations.

6 Your Rights

6.1 Under and in accordance with applicable laws and regulations, you have the following rights:

- (a) to check whether we hold your Personal Data and to access such Personal Data (including to make copies of the Personal Data);
 - (b) to require us to correct any Personal Data relating to you which is inaccurate;
 - (c) to ascertain our policies and practices in relation to Personal Data and to be informed of the kind of Personal Data held by us. Our policies and practices in relation to Personal Data may change over time and will be available to you on our website; and
 - (d) to request us and/or our Affiliates not to use your Personal Data for direct marketing purposes or otherwise to cease the use of your Personal Data and/or to delete your Personal Data (to the extent to which such Personal Data is not necessary for State Street to conduct business or State Street is not otherwise legally entitled to retain same).
- 6.2 Please note that we may charge a reasonable fee for processing any data access request. Requests may be made in writing to State Street Bank and Trust Company, 68/F., Two International Finance Centre, 8 Finance Street, Central, Hong Kong for the attention of the relationship manager that you deal with.
- 6.3 Any failure or delay in providing information or documents for the purposes of paragraph 2.1(a) may result in delay or inability to effect transfers or redemptions of your investments.
- 6.4 Nothing in this Statement limits your rights under the applicable laws and regulations.

For the purposes set out under section 2, the State Street Group may use, access, process or store your Personal Data outside Hong Kong, and we may otherwise transfer, disclose or otherwise make available your Personal Data outside Hong Kong to the persons set out under section 3 situated outside Hong Kong, including but not limited to the United States of America, Poland, India and each other location listed on our website at www.statestreet.com, where there may not be in place data protection laws which are substantially similar to, or serve the same purposes as, the Personal Data (Privacy) Ordinance. That means your personal data may not be protected to the same or similar level in Hong Kong.