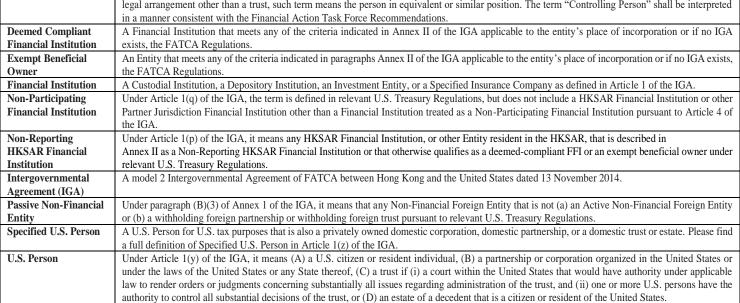
_	Date:				
╆	Tracker Fund of Hong Kong				
(A Hong Kong unit trust authorized under section 104(1) of the Securities and Futures					
	Ordinance (Cap 571) of Hong Kong)				
	(Stock Code: 2800)				
Unith	holder Name:				
Addr					
Hear	a black pen. Write in				
CAP	PITAL letters inside the grey ABC 123 X				
areas	s as shown in this example.				
For	eign Account Tax Compliance Act ("FATCA") Self-Certification Form				
Dear	Unitholder: Please read the cover letter and the Personal Information Collection Statement before completing this form. This form is used to certify your residency and citizenship for tax purposes. Please read the reverse for definitions of terms used on this form. You should, if necessary, consult				
	your own professional advisor(s) on the details and implications of FATCA.				
	Where the units are held by joint individuals in their own right (i.e. not held as Trustees), a concrete form is required for each individual				
	Where the units are held by joint individuals in their own right (i.e. not held as Trustees), a separate form is required for each individual unitholder. Please complete only one of the section 1 to 3 as applicable and sign the form in section 4.				
1	Individuals				
	Is the individual a U.S. citizen or resident of the U.S. for tax purposes?				
	Is the individual a U.S. citizen or resident of the U.S. for tax purposes?  YES NO				
If YES, please provide the individual's U.S. Taxpayer Identification Number.					
	U.S. Entities				
2					
If your entity is a corporation or partnership incorporated or organized in the U.S. or a trust considered a Specified U.S. Person, please complete this section					
	Is the entity a Specified U.S. Person for U.S. tax purposes?  YES NO NO				
	If YES, please provide the entity's U.S. Taxpayer Identification Number.				
3	Non-U.S. Entities				
v	Please select <u>one</u> of the following statuses below.				
	A Clabel International Identification Number				
	A. Global Intermediary Identification Number Please provide the GIIN.				
	Trease provide the onti-				
	B. Financial Institution				
	If the Financial Institution has not registered for a GIIN, please select its FATCA status from the following:				
	Deemed Compliant Financial Institution Non-Participating Financial Institution Exempt Beneficial Owner				
	Non-Reporting HKSAR Financial Insitution				
	C. Active Non-Financial Entity				

FATCA-202107 CCS1002 TRAH

			_		
D.	Passive Non-Financial Entity	Ţ	-		
Are	e there any U.S. citizens or resid	lents for tax purpose who are Controlling Persons of the Passive Non-I	Financial Entity? Please refer to below for the		
	inition of a Controlling Person.	-	•		
	ZEG NO				
1	YES NO NO				
Ė	*	and US Taxpayer Identification Number (TIN) of each U.S. Controlling			
		Address (number, street, city, province/state/region, and country including postal code)	TIN (XXX-XXXXX)		
		postar code)			
F					
L					
Cortificati	^-a				
4 Certificati					
I/We represent	and declare that:				
a) I/we am/ar	e authorised to provide the i	information on this form and such information is, to the best o	of my/our knowledge and belief true, correct		
	_	nt for all information regarding my investment(s), and account(			
	• •	ue Service from time to time, pursuant to the Intergovernment	•		
-		any changes if any certification made on this form becomes inc	•		
	•	completed electing checkbox "yes", the accountholder named of			
		•	n this form is holding for the benefit of itself		
апа постог	the benefit of another person	a.			
This form must b	ne signed and dated for us t	o accept as proper certification.			
gn Here Signat	ure - For an entity, please affix the comp	any stamp. Print Name and Title	Date (dd/ mm /yyyy)		
		1 1	/ /		
			/ /		
Phone Number:					
Please return th	ne completed form to:	Computershare Hong Kong			
		17M Floor Hopewell Centre	e, 183 Queen's Road East		
Definitions for Self-	Cartification Form	Wan Chai, Hong Kong			
		al Foreign Entity that meets any of the criteria indicated in paragraph VI(B	(a)(4) of Annex I of the IGA.		
Entity	·				
Controlling Person Under IGA, it means the natural person who exercises control over an entity. In the case					
		or class of beneficiaries, and any other natural person exercising ultimate enthan a trust, such term means the person in equivalent or similar position.			
		ith the Financial Action Task Force Recommendations.	The term Controlling reason shan so marpletes		
Deemed Complian	A Financial Institution th	hat meets any of the criteria indicated in Annex II of the IGA applicable	to the entity's place of incorporation or if no IGA		
Financial Instituti	on exists, the FATCA Regul	lations.	1 1		
Exempt Beneficial		of the criteria indicated in paragraphs Annex II of the IGA applicable to the	e entity's place of incorporation or if no IGA exists,		
Owner Financial Instituti	the FATCA Regulations.  1 Institution A Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company as defined in Article 1 of the IGA.				
Non-Participating		IGA, the term is defined in relevant U.S. Treasury Regulations, but does in			
	inancial Institution Partner Jurisdiction Financial Institution other than a Financial Institution treated as a Non-Participating Financial Institution pursuant to Article 4 of				
the IGA.					
Non-Reporting	Under Article 1(p) of the	Under Article 1(p) of the IGA, it means any HKSAR Financial Institution, or other Entity resident in the HKSAR, that is described in			





Sign