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IMPORTANT: This Notice requires your immediate attention. If you have any questions about the contents of this Notice, you should seek independent professional advice.

IMPORTANT

TraHK

Tracker Fund of Hong Kong

TRACKER FUND OF HONG KONG

a Hong Kong unit trust authorized under

Section 104 of the Securities and Futures Ordinance (Cap 571) of Hong Kong

Stock Code: 2800

Automatic Exchange of Financial Account Information (AEOI)

Dear Unitholder,

The Inland Revenue (Amendment) (No. 3) Ordinance 2016 (**the Ordinance**) came into effect on 30 June 2016 for AEOI. It requires us to collect, retain and report certain information about an account holder's tax residence. As you are the account holder, your information in the attached Self-Certification Form (**Form A**) and other financial information may be reported to the Inland Revenue Department of Hong Kong (**the IRD**) and exchanged with tax authorities of another country or countries in which you may be tax resident. For further information about AEOI, please see the attached Frequently Asked Questions extracted from the website of IRD.

To comply with the obligation to report to the IRD which may then share your information with other tax authorities, you are required to provide complete and accurate information in Form A. For an account which is held by joint individuals, a separate Form A is required for completion by each individual holder. Entity holders who are passive Non-Financial Entity (**Passive NFE**) must provide details of any natural person(s) who is/are the controlling person(s) of the entity. In addition to Form A, each controlling person of Passive NFE please fill in "Automatic Exchange of Financial Account Information ("AEOI") – Controlling Person Self-Certification Form" (**Form B**) and if more than one controlling person, please make a copy of Form B.

If your tax residence jurisdiction is different from that of mailing address or current residence/business address or place of incorporation/organization (in the case of an entity), please provide documentary evidence (copy only) establishing the jurisdiction of residence. Documentary evidence issued by tax residence jurisdiction includes:

- A certificate of residence issued by an authorized government body;
- For an individual, a valid identification card issued by an authorized government body;
- For an entity, an official documentation issued by an authorized government body showing the address of the entity's principal office in the tax residence jurisdiction or place of incorporation in tax residence jurisdiction.

Please return the Form(s) with documentary evidence (as applicable) to Computershare Hong Kong Investor Services Limited (Computershare). As personal data may be collected from you, please read attached Computershare's Personal Information Collection Statement which sets out the policies and practices with regard to any personal data provided by you. If you have any enquiry about how to determine your tax residency status and the definition of terms in the Forms, please refer to the Ordinance at <http://www.gld.gov.hk/egazette/pdf/20162026/es12016202622.pdf> or contact your tax adviser. If you have other questions on completing the Form(s), you may call Computershare at 852 2862 8555.

Please note that if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (HK\$10,000).

Yours faithfully,

**Hang Seng Investment Management Limited
Manager**

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重要提示：此通知務請即時查閱。閣下如對本通知內容有任何疑問，應尋求獨立專業意見。

重要通知

盈富基金

Tracker Fund of Hong Kong

盈富基金

根據香港法例第571章證券及期貨條例第104條

成立之認可香港單位信託基金

股份代號：2800

自動交換財務帳戶資料

致基金單位持有人：

有關自動交換財務帳戶資料的《2016年稅務(修訂)(第3號)條例》(條例)已於2016年6月30日生效。本基金須收集、保存及匯報帳戶持有人的稅務居民身份資料。由於你是帳戶持有人，本基金可能會把你在附件中自我證明表格(表格A)的資料及其他財務資料提交予香港稅務局，而香港稅務局可能會把這些資料交予其他國家的稅務局或你為稅務居民身份的國家的稅務局。如你須要有關自動交換財務帳戶的進一步資料，請參閱附件於香港稅務局網站節錄的常見問題。

根據條例要求，本基金須提交帳戶持有人的資料予香港稅務局，而香港稅務局可能會將你的資料交予其他稅務局，因此你必須於表格A提供完備及正確的資料。如為聯名帳戶，每位帳戶持有人須分別填寫表格A。被動的非金融機構持有人須提供任何實體控權人的資料。除表格A之外，每一位被動的非金融機構控權人須填寫「自動交換財務帳戶資料-控權人自我證明表格」(表格B)。如多於一位控權人請複印表格B。

如你的稅務居留司法管轄區與通訊地址或現時住址/營業地址或實體成立為法團/設立所在(如你為實體)的管轄區不同，請提供確立你的居留司法管轄區之文件證據(只需複印本)。由稅務居留司法管轄區發出的文件證據包括：

- 由認可政府當局發出的居民身份證明書；

- 就個人而言，由認可政府當局發出的有效身份證明;
- 就實體而言，由認可政府當局發出的官方文件，列明該實體在該稅務居留司法管轄區的主要辦事處地址或該實體成立為法團的稅務居留司法管轄區。

請將表格及文件證據(如適用)交回香港中央證券登記有限公司(中央證券)。由於可能需要你提供個人資料，我們另附上中央證券的《個人資料收集聲明》，內有列明中央證券在使用個人資料時的政策和方式。如你對如何釐定你的稅務居民身份及表格中使用的術語和定義有疑問，請瀏覽 <http://www.gld.gov.hk/egazette/pdf/20162026/cs12016202622.pdf> 或諮詢你的稅務顧問。如你對填寫表格有其他疑問請致電 852 2862 8555 向中央證券查詢。

請注意如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第 3 級(即港幣 \$10,000)罰款。

此致

恒生投資管理有限公司(經理人)